



17364 U.S. PTO
 031204

CUSTOMER NUMBER 25268

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

INCOMPLETE

22386 U.S. PTO
 10/799357
 031204

Attorney Docket No. CHEN0189

Date: March 12, 2004

Inventor(s): **Phillip Burwell, Zihong Guo, Jennifer Kristine Matson, Steven Ross Daly, David B. Shine, Gary Lichttenegger, Jean Bishop, Nick Yeo, and Hugh Narciso**

Title **LIGHT GENERATING DEVICE TO INTRAVASCULAR USE**

FULL NAME OF 1ST NAMED INVENTOR: **Phillip Burwell** COUNTRY OF CITIZENSHIP: **US**

RESIDENCE ADDRESS OF INVENTOR: **18810 126th St. SE, Snohomish, WA 98290**

FULL NAME OF 2ND NAMED INVENTOR: **Zihong Guo** COUNTRY OF CITIZENSHIP: **People's Republic Of China**

RESIDENCE ADDRESS OF INVENTOR: **16592 SE 48th Place, Bellevue, WA 98006**

FULL NAME OF 3RD NAMED INVENTOR: **Jennifer Kristine Matson** COUNTRY OF CITIZENSHIP: **US**

RESIDENCE ADDRESS OF INVENTOR: **339 Burnett Avenue South #318, Renton, WA 98055**

FULL NAME OF 4TH NAMED INVENTOR: **Steven Ross Daly** COUNTRY OF CITIZENSHIP: **US**

RESIDENCE ADDRESS OF INVENTOR: **3508 211th Pl. SE, Sammamish, WA 98075**

FULL NAME OF 5TH NAMED INVENTOR: **David B. Shine** COUNTRY OF CITIZENSHIP: **US**

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RESIDENCE ADDRESS OF INVENTOR: **575 Mt. Logan Drive S.W., Issaquah, WA 98027**

FULL NAME OF 8TH NAMED INVENTOR: **Nick Yeo** COUNTRY OF CITIZENSHIP: **BRITAIN**

RESIDENCE ADDRESS OF INVENTOR: **37 Crabtree Lane, Great Bookham, Surrey KT23 4PJ, United Kingdom**

FULL NAME OF 9TH NAMED INVENTOR: **Hugh Narciso** COUNTRY OF CITIZENSHIP: **US**

RESIDENCE ADDRESS OF INVENTOR: **2870 Holly Road, Santa Barbara, CA 93105**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal (BELOW) (NO FEE ENCLOSED)
2. ☒ Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages 36]
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9] {FORMAL}
5. ☐ Oath or Declaration [Total Pages ____]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below]
 - ii. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
7. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF) b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ ii. Paper c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) _____ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 _____ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

____ Continuation _____ Divisional _____ Continuation-in-part (CIP) _____ of prior application No: ____ / _____

Prior application information: Examiner: _____ Group / Art Unit: _____

This application is based on a prior copending provisional application, Serial No. 60/455,069, filed on March 14, 2003, the benefit of the filing date of which is hereby claimed under 35 U.S.C. § 119(e).

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence address below:

Michael C. King
LAW OFFICES OF RONALD M. ANDERSON
600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004
Telephone: 425-688-8816 Facsimile: 425-646-6314

FEE TRANSMITTAL																																																	
METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)																																														
1. _____ The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number _____ Deposit Account Name: _____ _____ Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 _____ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance			3. ADDITIONAL FEES (Continued) <div style="float:right;">Fee Paid</div> <table border="0" style="width:100%;"> <tr> <th style="text-align:left;">Requesting publication of SIR after Examiner action</th> <th></th> </tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Director</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> </table>			Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive – unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Director		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties)		Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)	
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Independent Claims	- 3 =	x \$43 =	\$																																														
Multiple Dependent	-0-	x \$145 =	\$																																														
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Non-English specification																																																	
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Requesting publication of SIR after Examiner action																																																	
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Registration Number: 44,832

Date: March 12, 2004

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV302883505US

Date of Deposit: March 12, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, Virginia 22313-1450.

Kathy Paulino
(Name of person mailing paper/fee)

Katay Paur
(Signature of person mailing paper or fee)